

# THE HONG KONG ASSOCIATION OF ONLINE BROKERS LIMITED

## Membership Application Form

We, the undersigned, hereby apply for membership of *The Hong Kong Association of Online Brokers Limited* (“Association”).

- Full Member
- Associate Member

We confirm that we have read and agree to abide by the rules of the Constitution thereof.

- We hereby confirm that we have not received any disciplinary action from the Hong Kong Securities & Futures Commission (“SFC”) within the past three years.
- We have received disciplinary action(s) from the SFC within the past three years. (Please enclose a brief description of the disciplinary action(s)).

The initial membership fee shall be of HK\$10,000.00. We understand that we are also required to pay the annual subscription fee in the amount of and for the period as prescribed by the Committee of the Association from time to time.

We hereby pay “*The Hong Kong Association of Online Brokers Limited*” HK\$10,000.00 for our initial membership fee.

|  |   |
|--|---|
| <b>Name of Institution:</b>                | <b>Address:</b>                           |
| <b>Telephone No:</b><br><br><b>Fax No:</b> | <b>Email:</b>                             |
| <b>Name of Contact Person(s):</b>          | <b>Telephone No:</b><br><br><b>Email:</b> |

For and on behalf of

(Name of the Institution)

\_\_\_\_\_

Name:

Title:

Date:

\_\_\_\_\_